Attorney's Docket No.:17118-058002 / 2837B

Art Unit : 1723

Examiner: Sun U. Kim

OFFICIAL COMMUNICATION FACSIMILE:

OFFICIAL FAX NO: (571) 273-8300

Number of pages including this page

Applicant: Grimes et al.

Serial No.: 10/098,690

: March 15, 2002 Filed

Title

: System and Method For The Modification and Purification of Proteins

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a Revocation and New Power of Attorney by Assignee, a Certificate under 37 CFR§3.73(b) with attachments and a Change of Correspondence Address Application, faxed this 15th day of September, 2006 to Commissioner for Patents, U.S. Patent and Trademark Office.

Respectfully submitted,

Date: September 15, 2006

Stephanie Seidman Reg. No. 33,779

Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070

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SEP 1 5 2006

™ FISH & RICHARDSON P.C.

SUBSTITUTE PTO/SB/122(04-05)

Application Number 10/098,690 **CHANGE OF** March 15, 2002 CORRESPONDENCE ADDRESS Filing Date Application First Named Inventor Grimos et al. Address to: Art Unit 1723 · Commissioner for Patents Sun U. Kim Examiner Name P.O. Box 1450 Alexandria, VA 22313-1460 Attorney Docket Number 17118-058002 / 28378

The address associated with Customer Number: OR Firm or Individual Name Fish & Richardson.,P.C. Address PO Box 1022 City Minneapolis State MN Zip 55440-1022 Country USA Telephone Email This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/12)	
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Applicant/Inventor. Assignee of record of the entire interest. Certificate under 37 CFR 3.73(b) is enclosed. Alterney or agent of record. Registration Number 33,779 Registered practitioner named in the application transmittal letter in an application without an executed eath of declaration. See 37 CFR 1.33(a)(1). Registration Number 33,779	
Signature	
Typed or Printed Name Stephanle Seldman	
Date 9/15/2006 Telephone (858) 678-5070	
NOTE: Signatures of all the inventors or assignous of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.	e
Toral of forms are submitted.	

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Attorney's Docket No.: 17118-058002/ 2837B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grimes, et al.

Art Unit : 1723

Filed

Serial No.: 10/098,690

Examiner: Sun U. Kim

: March 15, 2002

Conf. No.: 6943

SEP 1 5 2006

Title

: SYSTEM AND METHOD FOR THE MODIFICATION AND

PURIFICATION OF PROTEINS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REVOCATION AND NEW POWER OF ATTORNEY BY ASSIGNEE

Revoking any and all powers of attorney and authorizations of agent heretofore made in respect of the above-captioned patent application, an Assignce of the entire interest in this patent application hereby appoints the following as its attorneys, with full power of substitution and revocation, to prosecute the applications and to transact all business in the Patent and Trademark Office connected therewith:

> STEPHANIE SEIDMAN, Registration No. 33,779 FRED C. HERNANDEZ, Registration No. 41,832 PAULA K. SCHOENECK, Registration No. 39,362 SCOTT C. HARRIS, Registration No. 32,030

Address all correspondence regarding this patent application to:

20985 FISH AND RICHARDSON, P.C. PO BOX 1022 MINNEAPOLIS, MN 55440-1022

Direct all telephonic inquiries to Stephanie Scidman, Registration No. 33,779, at telephone no. (858) 678-5070.

Date: September 12, 2006

Title: Vice President of Process Sciences

Conf. No.: 6943

Attorney's Docket No.: 17118-058002/ 2837B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grimes, et al.

Art Unit : 1723 Serial No.: 10/098,690 Examiner: Sun U. Kim

Filed : March 15, 2002

: SYSTEM AND METHOD FOR THE MODIFICATION AND

PURIFICATION OF PROTEINS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



CERTIFICATE UNDER 37 CFR § 3.73 (b)

Dear Sir:

Title

RECEPTOR BIOLOGIX, INC., a corporation organized under the laws of the state of Delaware. United States of America, having a place of business at 1140 Veterans Blvd., Suite A, South San Francisco, CA 94080, is an Assignee of the entire right, title, and interest in the above-captioned patent application by virtue of:

A chain of title from the inventors of the patent application identified above, to the current assignee as shown below:

- From Stephen Grimes and Stephen L. Karr to Aphton Corporation recorded in the Patent and Trademark Office at Reel 007621, Frame 0832 on August 24, 1995 for parent application serial number 08/484,740 now patent number 6,359,114
- From Aphton Corporation to Receptor Biologix, Inc., an assignment executed on August 8, 2006 and August 10, 2006, referencing application serial number 10/098,690.

A copy of the Patent Assignment Abstract of Title for parent application serial number 08/484,740 now patent number 6,359,114, as well as a copy of the executed assignment from Aphton Corporation to Receptor Biologix, Inc. are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignce identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

Applicant: Grimes, et al. Serial No.: 10/098,690 Filed: March 15, 2002

Page : 2 of 2

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

United States Code and that such willful false statements may jeopardize the validity of the

application or any patent issued thereon.

RECEPTOR BIOLOGIX, INC.

Date: September 12, 2006

Louis E. Burton, Ph.D

Title: Vice President of Process Sciences

Attorney's Docket No.: 17118-058002/2837B

USPTO Assignments on the Web

Page 1 of 1



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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title NOTE:Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 1

Patent #: 6359114 Issue Dt: 03/19/2002 Application #: 08484740 Flling Dt: 06/07/1995

Inventors: STEPHEN GRIMES, STEPHEN L. KARR JR.

Title: SYSTEM FOR METHOD FOR THE MODIFICATION AND PURIFICATION OF PROTEINS

Assignment: 1

Reel/Frame: 007621/0832 Recorded: 08/24/1995 Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: GRIMES, STEPHEN Exec Dt: 08/09/1995

KARR, STEPHEN L. JR. Exec Dt: 08/09/1995

Assignee: APHTON CORP.

P.O. BOX 1049

WOODLAND, CALIFORNIA 95776

Correspondent: DIMITRIOS T. DRIVAS, ESQ.

WHITE & CASE

PATENT DEPARTMENT

1155 AVENUE OF THE AMERICAS NEW YORK, NEW YORK 10036

Search Results as of 09/07/2006 07:35 PM of PRD / Assignments at 571-272-3350.

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SEP 1 5 2006

APHTON ASSIGNMENT NO. 1 TO RECEPTOR BIOLOGIX

ASSIGNMENT

In consideration of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned Assignor hereby assigns to:

RECEPTOR BIOLOGIX, INC., 1140 Veterans Boulevard, Suite A, South San Francisco, CA 94080, a corporation organized under the laws of Delaware (Assignce)

its successors, legal representatives, and assigns, the entire right, title and interest in and to all subject matter owned by the undersigned Assignor that is disclosed in the patents and patent applications listed in Exhibit A attached hereto.

The undersigned Assignor acknowledges that it has assigned, and transferred, and by these presents does hereby assign, and transfer, to Receptor Biologix, Inc., its successors, legal representatives, and assigns, the entire right, title, and interest, throughout the world, in, to, and under the subject matter, and the Patents and Patent Applications, and all Patents that may be granted thereon, and all divisions, continuations, continuation-in-parts, reissues, reexaminations, renewals, and extensions thereof, and all International Convention and Treaty rights of all kinds, in all countries throughout the world, including the right to file national and regional patent applications directly in the name of the Assignce and to claim for any such applications any priority rights to which such applications are entitled under International Conventions, Treatics or otherwise, and all applications for Letters Patent that may hereafter be filed for said subject matter or for Patents and Patent Applications in any country or countries foreign to the United States.

The undersigned Assignor hereby authorizes and requests the United States Commissioner for Patents, and any Official of any country foreign to the United States, whose duty it is to issue patents on applications, to issue all Letters Patent for the subject matter, as well as all Patents resulting from the Patent Applications, to the Assignee, its successors, legal representatives, and assigns, in accordance with the terms of this agreement.

The undersigned Assignor assigns, transfers, and conveys to Receptor Biologix, Inc., its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned that may have accrued prior to the date of assignment or that may accrue hereafter, including, but not limited to, the right to bring suit for, collect and retain damages for past infringements of the issued Letters Patent.

The undersigned Assignor warrants himself the owner, or a legal representative of the owner, of the entire right, title and interest in the subject matter and to have the right to make this assignment and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned Assignor hereby agrees, upon the request and at the expense of the Assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary outh, affidavit, or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said Assignee, its successors or assigns may reasonably deem necessary

APHTON ASSIGNMENT NO. 1 TO RECEPTOR BIOLOGIX

or expedient, and for the consideration, the undersigned Assignor authorizes Receptor Biologix, Inc., to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said Assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned Assigner with said Assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of the preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the Assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said Assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned Assignor if this assignment had not been made.

ASSIGNOR

Signature:

Printed: Title:

For:

Aprilon Cor

STATEOF Maybrane

COUNTY OF Chelodelphia

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WITNESS my hand and official scal.

Notáry Public

MCTARIAL SEAL.

Madne M. Differza, Motary Public
City of Philadelphia, Phila. County
of Camericalon Expires September 18, 2008

APHTON ASSIGNMENT NO. 1 TO RECEPTOR BIOLOGIX

<u>ASSIGNEE</u>

Signature:

Printed:

Title: For: THOMAS A. GLAZE

RECEPTOR BIOLOGIX, INC

STATE OF CHEIFERNIE)

COUNTY OF SW UTTE O)

of CACIFERIAN. . before me, the undersigned, a notary public for the State of CACIFERIAN. . personally appeared Inomas AGAZI personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

WITNESS my hand and official seal.

Notary Public



APHTON ASSIGNMENT NO. 1 TO RECEPTOR BIOLOGIX

EXHIBIT A

ISSUE DATE

PATENT NO.

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COUNTRY
TILE
OR FILING DATE
OR LICATION NO.